



# MAPLE-BROWN ABBOTT

INVESTMENT MANAGERS SINCE 1984

## New Adviser Registration

This form applies to the following products:


- **Maple-Brown Abbott Australian Share Fund**
- **Maple-Brown Abbott Australian Geared Equity Fund**
- **Maple-Brown Abbott Sharemarket Fund**
- **PDS issued 01 February 2017**
- **PDS issued 01 February 2017**
- **Closed to new investors**

**Questions?** Please phone Ironbark Client Services on 1800 034 402, Mon-Fri 9am to 5.30pm (Sydney time).

**COMPLETE THIS FORM IN CLEAR CAPITAL LETTERS**

**SUBMIT YOUR REQUEST BY:**

 **Mail**  
Registry Services – MBA  
GPO Box 1406  
Melbourne VIC 3001

 **Fax**  
1300 365 601

### SECTION 1: NEW ADVISER DETAILS

Company

Title  
Mr  Mrs  Miss  Ms  Other

Full given name(s)

Surname

### SECTION 2: NEW ADVISER CONTACT DETAILS

Office address

State  Postcode

Postal address (if different from above)

State  Postcode

Daytime phone number  Fax number

Mobile

Email address

### SECTION 3: DEALER GROUP DETAILS

Dealer Group name

Please provide the adviser's AFSL Number/ASIC Authorised Representative Number and attach a copy of their AFSL.

➤ Please provide a letter of authority to act on behalf of the Dealer Group. We have attached a template for your convenience.

### SECTION 4: FEES

Please select one of the following options:

Please pay my fees **directly** to my nominated financial institution account given in **section 5**.

**OR**

Please pay my fees to the dealer group nominated in **section 3**.

Dealer Group no.

### SECTION 5: EXTERNAL FINANCIAL INSTITUTION ACCOUNT DETAILS

Name of Australian financial institution

Branch name

BSB number  Account number

Account name

## New Adviser Registration

### SECTION 6: TAXATION DETAILS

Please provide the Australian Business Number (ABN) of the Licensee.

Australian Business Number (ABN)

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**! FEES CANNOT BE PAID IF AN ABN IS NOT SUPPLIED.**

### SECTION 7: INVESTOR TRANSFER

Are investors being transferred to the new adviser?

Yes  No

**! TO COMPLETE THE INVESTOR TRANSFER, YOU WILL NEED TO SUBMIT A LIST OF THE CLIENT NUMBERS AND FULL NAMES OF ALL INVESTORS THAT ARE BEING TRANSFERRED.**

If the transfer is occurring between dealer groups, Maple-Brown Abbott requires the following information.

- ▶ A letter from the old dealer group authorising the release of the investors. We've attached a template for this letter for your convenience. The letter should be provided on Dealer Group letterhead.
- ▶ A letter from the new dealer group accepting the transfer of the investors. We've also supplied a template for this letter. This letter must be provided on Dealer Group company letterhead.

### SECTION 8: ADVISER SIGNATURE

Date (dd/mm/yyyy)

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Signature of Adviser

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Signatory's full name (please print)

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Adviser's stamp (please use black ink only)

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### OUR CONTACT DETAILS

#### Ironbark Client Services

1800 034 402

#### Mail

Registry Services – MBA  
GPO Box 1406  
Melbourne VIC 3001

#### Website

maple-brownabbott.com.au

[Company Letterhead]

Letter of Authority to Act on Behalf of Dealer Group

Maple-Brown Abbott Adviser Services

Please set up [insert adviser name] as an authorised representative of [insert Dealer Group name].

Signed by  
[Print name]

Dealer Group Principal  
[insert date]

[Company Letterhead]

Dealer Group Release of Investors

Maple-Brown Abbott Adviser Services

[insert Dealer Group name] authorises the release of the investors listed in the attached list to [insert adviser name and adviser code].

Signed by

[Print name]

Dealer Group Principal

[insert date]

[Company Letterhead]

Dealer Group Acceptance of Investors

Maple-Brown Abbott Adviser Services

[insert Dealer Group name] accepts the transfer of the investors listed in the attached list. These investors should be allocated to [insert adviser name].

Signed by

[Print name]

Dealer Group Principal

[insert date]