



# MAPLE-BROWN ABBOTT

INVESTMENT MANAGERS SINCE 1984

## Additional Investment Request

This form applies to the following products:

- **Maple-Brown Abbott Australian Share Fund** ➤ **PDS issued 01 February 2017**
- **Maple-Brown Abbott Australian Geared Equity Fund** ➤ **PDS issued 01 February 2017**
- **Maple-Brown Abbott Sharemarket Fund** ➤ **Closed to new investors**

**Questions?** Please phone Ironbark Client Services on 1800 034 402, Mon-Fri 9am to 5.30pm (Sydney time).

**COMPLETE THIS FORM IN CLEAR CAPITAL LETTERS**

**SUBMIT YOUR REQUEST BY:**

✉ **Mail**  
Registry Services – MBA  
GPO Box 1406  
Melbourne VIC 3001

☎ **Fax**  
1300 365 601

(We can **only** accept this form by fax if you are remitting the additional investment by EFT)

### FREQUENTLY ASKED QUESTIONS

- **How can I make an additional investment?**  
You can add to your investment in several ways:
  - > Use your financial institution's **BPay®** facility. You can find the relevant Fund's Biller Code and Reference Number at [maple-brownabbott.com.au](http://maple-brownabbott.com.au);

**YOU DO NOT NEED TO COMPLETE THIS FORM IF YOU CHOOSE THE BPAY OPTION. THE RECEIPT OF FUNDS INTO OUR ACCOUNT IS SUFFICIENT.**

- > Send a **cheque** and **complete sections 1, 2 and 4**;
  - > Make an **Electronic Funds Transfer (EFT)** into our bank account and **complete sections 1, 2 and 4**;
  - > Transfer ownership of units by providing us with a signed and completed **Standard Transfer Form** and **complete sections 1, 2 and 4**; or
  - > Submit a **Direct Debit Request** by completing the Direct Debit Request and **complete sections 1, 2, 3 and 4**.
- **When will my additional investment be processed?**  
Generally, if we receive your valid Additional Investment Request and investment amount before 4pm (Sydney time) on a Business Day, we will process it using the application price for that day. Where we receive your valid documentation and investment amount after 4pm (Sydney time) on a Business Day, we will process it using the application price for the following Business Day. Application monies are held in a non-interest bearing bank account.
  - **Are there any minimum investment amounts when making an additional investment?**  
The table in **Section 2** of this form confirms the minimum additional investment amount relevant for each Fund.
  - **What is a Direct Debit Request?**  
A Direct Debit Request authorises us to debit funds from your nominated financial institution account in order to make an additional investment. We will **only** arrange for funds to be debited from your account as authorised in the Direct Debit Request.

### FREQUENTLY ASKED QUESTIONS (CONTINUED)

- **Where can I find the governing terms of the Direct Debit arrangement?**  
The Direct Debit arrangement is governed by the terms of the Direct Debit Request Service Agreement which is available at [maple-brownabbott.com.au](http://maple-brownabbott.com.au).
- **Can I establish a Direct Debit arrangement with a foreign financial institution account?**  
No. We **only** accept Australian financial institution account details.
- **What are my obligations with a Direct Debit arrangement?**  
It is **your** responsibility to ensure that there are sufficient cleared funds available in the nominated bank account to allow a debit payment to be made in accordance with the Direct Debit Request.
- **Who is Ironbark Asset Management?**  
We have appointed Ironbark Asset Management Pty Ltd ABN 53 136 679 420 AFSL 341020 (Ironbark) to provide client and adviser services in relation to the Funds. The references to Ironbark throughout this form relate to its role as call centre service provider.

### OUR CONTACT DETAILS

**Ironbark Client Services**  
1800 034 402

**Mail**  
Registry Services – MBA  
GPO Box 1406  
Melbourne VIC 3001

**Website**  
[maple-brownabbott.com.au](http://maple-brownabbott.com.au)

# Additional Investment Request

## CONTACT DETAILS

**!** IF WE NEED TO ASK A QUESTION REGARDING THIS FORM WE WILL CONTACT THE PERSON BELOW.

Please cross  the relevant box.

Account holder       Financial adviser

Title

Mr  Mrs  Miss  Ms  Other

Name

Phone number (during business hours)

(    )

Email address



## SECTION 1: INVESTOR DETAILS

Investor Number (as displayed on your investor statement).

Account name (individual, joint investors, company, trust, association or other).



## SECTION 2: INVESTMENT DETAILS

### SECTION 2A: PAYMENT METHOD

How will your additional investment be made?

Cheque is enclosed

\$

Make cheques payable to **NNL – Maple-Brown Abbott Application Account** and mark 'not negotiable'.

Electronic Funds Transfer (EFT)

\$

Our bank account details are:

Account Name: **NNL – Maple-Brown Abbott Application Account**  
 BSB: **083-043**  
 Account Number: **126 783 944**

Please quote your investor number in the reference.

Direct Debit

\$

Deduct directly from my nominated financial institution account.

**PLEASE COMPLETE SECTION 3.**

Transferring ownership

Provide a signed and completed Standard Transfer Form and also the Investor Number for the investment that units are being transferred out of (if known).

Investor Number

## SECTION 2B: INVESTMENT AMOUNT

Enter the amount to be invested in each Fund.

Fund	APIR Code	For office use only	Minimum	Amount	Transfer ownership
<b>Retail investment option</b>					
Maple-Brown Abbott Australian Share Fund – Retail	ADV0013AU	MPIMPO	\$ 1,500	\$	<input type="checkbox"/>
Maple-Brown Abbott Australian Share Fund NEF – Retail	ADV0008AU	MPIMPN	\$ 1,500	\$	<input type="checkbox"/>
Maple-Brown Abbott Australian Geared Equity Fund – Retail	ADV0077AU	MPAGEO	\$ 1,500	\$	<input type="checkbox"/>
Maple-Brown Abbott Sharemarket Fund – Retail*	ADV0012AU	MPSMKO	\$ 1,500	\$	<input type="checkbox"/>
<b>Wholesale investment option</b>					
Maple-Brown Abbott Australian Share Fund – Wholesale	ADV0046AU	MPIMPW	\$ 5,000	\$	<input type="checkbox"/>
Maple-Brown Abbott Australian Geared Equity Fund – Wholesale	ADV0078AU	MPAGEW	\$ 5,000	\$	<input type="checkbox"/>
Maple-Brown Abbott Sharemarket Fund – Wholesale*	ADV0066AU	MPSMKW	\$ 5,000	\$	<input type="checkbox"/>
				<b>Total</b>	\$

\* Investments can only be made if there is an existing investment, as this fund is closed to new investors.

# Additional Investment Request

## SECTION 3: DIRECT DEBIT REQUEST

### SECTION 3A: DIRECT DEBIT FINANCIAL INSTITUTION ACCOUNT DETAILS

Complete this section to authorise us to arrange for funds to be debited from a nominated financial institution account held with another financial institution.

**! FINANCIAL INSTITUTION ACCOUNTS FOR DIRECT DEBIT PAYMENTS CAN BE IN THE NAME(S) OF THE INVESTOR(S) OR A THIRD PARTY.**

**! WE ONLY ACCEPT AUSTRALIAN FINANCIAL INSTITUTION ACCOUNT DETAILS.**

Name of Australian financial institution

Branch name

BSB number

Account number

Account name

## SECTION 3B: DIRECT DEBIT AUTHORITY

**! ALL FINANCIAL INSTITUTION ACCOUNT SIGNATORIES MUST SIGN.**

Direct Debit Authority:

I/we authorise Maple-Brown Abbott Limited ABN 73 001 208 564 (User ID: 431895) to, until further notice, arrange for funds to be debited from the account at the financial institution identified above through the Bulk Electronic Clearing System.

I/We acknowledge this direct debit arrangement is governed by the terms of the Direct Debit Request Service Agreement available at [maple-brownabbott.com.au](http://maple-brownabbott.com.au).

### SIGNATURE A

Date (dd/mm/yyyy)

Signatory's full name (please print)

Company signatories must indicate their company title

Director  Sole Director/Sole Secretary/Sole Trader

Clubs/associations/unincorporated bodies:  
please indicate office title

### SIGNATURE B

Date (dd/mm/yyyy)

Signatory's full name (please print)

Company signatories must indicate their company title

Director  Company Secretary

Clubs/associations/unincorporated bodies:  
please indicate office title

Company: two directors or a director and company secretary must sign unless the company has only a sole director and sole secretary. All signatories must state their capacity within the company by crossing the applicable box(es).

**! PLEASE ENSURE INVESTOR'S DECLARATION AND SIGNATURE IS ALSO COMPLETED (SECTION 4).**

# Additional Investment Request

## SECTION 4: INVESTOR'S DECLARATION AND SIGNATURE

By signing:

- > I/we acknowledge that I/we have read in full the Product Disclosure Statement (PDS) including the Additional Information Booklet for the Fund(s) I/we have selected and agree to be bound by the terms of the PDS and the terms of the relevant Constitution(s), each as amended from time to time.
- > I/we declare that all the details given are true and correct.

**! ALL INVESTORS MUST SIGN AND DATE**

### INDIVIDUAL 1, DIRECTOR OR SOLE TRADER

Date (dd/mm/yyyy)

□	□	/	□	□	/	□	□	□	□
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Signatory's full name (please print)

Company signatories must indicate their company title

Director     Sole Director/Sole Secretary/Sole Trader

Clubs/associations/unincorporated bodies:  
please indicate office title

### INDIVIDUAL 2, OR DIRECTOR/COMPANY SECRETARY

Date (dd/mm/yyyy)

□	□	/	□	□	/	□	□	□	□
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Signatory's full name (please print)

Company signatories must indicate their company title

Director     Company Secretary

Clubs/associations/unincorporated bodies:  
please indicate office title

## WHO SHOULD SIGN THIS FORM?

### Individual

Individual 1 must sign.

If signing under a Power of Attorney (POA), you verify that at the time of signing, you have not received notice of revocation of that power.

Please provide a certified copy of the original POA including the appointed POA's signature, if not already provided to us.

### Joint investors

Individuals must sign per the account signing authority.

### Trust or Superannuation Fund

- > Individual trustee(s) – each individual must sign
- > Corporate trustee – see company requirements

### Company

Acceptable company signatories are:

- > Two directors
- > A director and a company secretary
- > A sole director or sole secretary/sole trader.

### Adult(s) investing on behalf of a child

Each individual investing on behalf must sign.

### Club, association or other

Office bearer(s) must sign and state their appropriate office title (e.g. president, secretary).