



MAPLE-BROWN ABBOTT

INVESTMENT MANAGERS SINCE 1984

Customer Identification for Government Bodies

To comply with our obligations under the *Anti-Money Laundering (AML) and Counter Terrorism Financing (CTF) Act 2006*, Maple-Brown Abbott customers must complete customer identification in addition to the Initial Application Form.

YOU MUST COMPLETE CUSTOMER IDENTIFICATION AS PART OF THE APPLICATION PROCESS. CUSTOMER IDENTIFICATION FORMS FOR OTHER ENTITY TYPES ARE AVAILABLE ON OUR WEBSITE.

COMPLETE THIS FORM IN CLEAR CAPITAL LETTERS.

SECTION 1: GOVERNMENT BODY DETAILS

SECTION 1A: GENERAL INFORMATION

Full name of Government Body

Principal Place of Operations (PO Box **not** acceptable)

State Postcode

Country

➤ **Proceed to Section 1B**

SECTION 1B: GOVERNMENT BODY TYPE

Select **ONE** of the following and provide the information requested.

Commonwealth of Australia

Australian State or Territory

Please specify which State or Territory

Foreign Country

Please specify which Country

➤ **Proceed to Section 2**

SECTION 2: IDENTITY VERIFICATION FOR A GOVERNMENT BODY

Do not send original documents, only certified copies.

<input checked="" type="checkbox"/>	Select ONE of the following
<input type="checkbox"/>	Search of the relevant Commonwealth, State, Territory or foreign government website for confirmation of the body's existence (Maple-Brown Abbott to perform for direct customers).
<input type="checkbox"/>	Search of the relevant Commonwealth, State, Territory or foreign country register of Government Body's (Maple-Brown Abbott to perform for direct customers).
<input type="checkbox"/>	A copy or extract of the legislation establishing the body obtained from a reliable and independent source, such as a government website.

Documents written in any other language but English must be accompanied by an English translation prepared by an accredited translator.

HOW TO CERTIFY YOUR DOCUMENTS

A certified copy is a document that has been certified as a true copy of an original document.

To certify a document, take the original document and a photocopy to one of the people listed in the categories below and ask them to certify that the photocopy is a true and correct copy of the original document. That person will need to print their name, date and the capacity in which they are signing (eg postal agent, Justice of the Peace). Sample wording follows.

I, [full name], as [category of persons as listed below], certify that this [name of document] is a true and correct copy of the original [signature and date].

WHO CAN CERTIFY COPIES OF DOCUMENTS

Legal	<ul style="list-style-type: none"> > A solicitor or barrister (that is, a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)) > A judge of a court > A magistrate > A chief executive officer of a Commonwealth court > A registrar or deputy registrar of a court > A notary public
JP	> A Justice of the Peace
Police	> A police officer
Accountant	> A member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants Australia or the National Institute of Accountants with two or more years of continuous membership
Post office	<ul style="list-style-type: none"> > An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public > A permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
Diplomatic service	<ul style="list-style-type: none"> > An Australian consular officer > An Australian diplomatic officer (within the meaning of the Consular Fees Act 1985)
Financial corporations (bank, building society, credit union)	<ul style="list-style-type: none"> > An officer with two or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) > A finance company officer with two or more years of continuous service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993) > An officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having two or more years of continuous service with one or more licensees