



MAPLE-BROWN ABBOTT

INVESTMENT MANAGERS SINCE 1984

Customer Identification for Registered Co-operatives

To comply with our obligations under the *Anti-Money Laundering (AML) and Counter Terrorism Financing (CTF) Act 2006*, Maple-Brown Abbott customers must complete customer identification in addition to the Initial Application Form.

To comply with our obligations under the United States legislation, *Foreign Account Tax Compliance Act 2010 (FATCA)*, Common Reporting Standard (CRS), or corresponding Australian legislation, we must ask certain information about each investor, and where applicable, obtain certain details from the relevant investors.

YOU MUST COMPLETE CUSTOMER IDENTIFICATION AS PART OF THE APPLICATION PROCESS. CUSTOMER IDENTIFICATION FORMS FOR OTHER ENTITY TYPES ARE AVAILABLE ON OUR WEBSITE.

COMPLETE THIS FORM IN CLEAR CAPITAL LETTERS.

SECTION 1: REGISTERED CO-OPERATIVE DETAILS

SECTION 1A: GENERAL INFORMATION

Full name of Registered Co-operative

Provide ID number issued by relevant registration body (if any)

Full name of the following (or equivalent in each case):

1 CHAIRMAN

Title

Mr Mrs Miss Ms Other

Full given name(s)

Surname

2 SECRETARY

Title

Mr Mrs Miss Ms Other

Full given name(s)

Surname

3 TREASURER

Title

Mr Mrs Miss Ms Other

Full given name(s)

Surname

➤ Proceed to Section 1B

SECTION 1B: ADDRESS DETAILS

Complete only **ONE** of the following options.

1 PRINCIPAL PLACE OF OPERATIONS

Address (PO Box **not** acceptable)

State

Postcode

Country

2 REGISTERED OFFICE

Address (PO Box **not** acceptable)

State

Postcode

Country

3 NAME AND RESIDENTIAL ADDRESS OF THE SECRETARY (or president or treasurer if there is no secretary)

Title

Mr Mrs Miss Ms Other

Full given name(s) of officer (if applicable)

Surname

Position

Address (PO Box **not** acceptable)

State

Postcode

Country

➤ Proceed to Section 2

Customer Identification for Registered Co-operatives

SECTION 2: TAX INFORMATION

Collection of tax status in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS).

Is the Registered Co-operative a tax resident of a country other than Australia? (A Registered Co-operative created or established under the laws of a country other than Australia)

Yes

➤ Provide Tax Residency details below, then proceed to Section 3

No

➤ Proceed to Section 3

TAX RESIDENCY

Please provide the Registered Co-operative's country of tax residence and tax identification number (TIN) or equivalent. If the Registered Co-operative is a tax resident of more than one other country, please list all relevant countries.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or an Employee Identification Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

Reason A	The country of tax residency does not issue TINs to tax residents
Reason B	The Registered Co-operative has not been issued with a TIN
Reason C	The country of tax residency does not require the TIN to be disclosed

Country

TIN

If no TIN, list A, B or C

Country

TIN

If no TIN, list A, B or C

Country

TIN

If no TIN, list A, B or C

If there are more countries, provide details on a separate sheet and tick this box

SECTION 3: IDENTITY VERIFICATION FOR A REGISTERED CO-OPERATIVE

Do not send original documents, only certified copies.

<input checked="" type="checkbox"/>	Select ONE of the following
<input type="checkbox"/>	Information provided by ASIC or the relevant registration body responsible for the registration of the Co-operative.
<input type="checkbox"/>	An original, a certified copy, or certified extract of the register maintained by the Co-operative.

Documents written in any other language but English must be accompanied by an English translation prepared by an accredited translator.

Contact us if you are unable to provide any of the required documents.

HOW TO CERTIFY YOUR DOCUMENTS

A certified copy is a document that has been certified as a true copy of an original document.

To certify a document, take the original document and a photocopy to one of the people listed in the categories below and ask them to certify that the photocopy is a true and correct copy of the original document. That person will need to print their name, date and the capacity in which they are signing (eg postal agent, Justice of the Peace). Sample wording follows.

I, [full name], as [category of persons as listed below], certify that this [name of document] is a true and correct copy of the original [signature and date].

WHO CAN CERTIFY COPIES OF DOCUMENTS

Legal	<ul style="list-style-type: none"> > A solicitor or barrister (that is, a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)) > A judge of a court > A magistrate > A chief executive officer of a Commonwealth court > A registrar or deputy registrar of a court > A notary public
JP	> A Justice of the Peace
Police	> A police officer
Accountant	> A member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants Australia or the National Institute of Accountants with two or more years of continuous membership
Post office	<ul style="list-style-type: none"> > An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public > A permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
Diplomatic service	<ul style="list-style-type: none"> > An Australian consular officer > An Australian diplomatic officer (within the meaning of the Consular Fees Act 1985)
Financial corporations (bank, building society, credit union)	<ul style="list-style-type: none"> > An officer with two or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) > A finance company officer with two or more years of continuous service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993) > An officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having two or more years of continuous service with one or more licensees